

PROACTIVE AUDIT SERVICES FOR DRE COMPLIANCE

The California Department of Real Estate is ever vigilant to advocate for and protect consumers so an audit of your operations may happen if it hasn't already. The Department closed 533 audits in 2019-2020, with 348 of those related to property management activity. Whether due to complaints from the public, or simply routine random examinations, the DRE may focus on your business (the company, salespersons, and the broker of record) with an inquiry or audit at some point.

If you have already endured an audit it doesn't mean you are now "safe" from further DRE scrutiny. The DRE is known for setting follow-up examinations a year or two down the line to check for continuing or new violations.

Kimball, Tirey & St. John LLP offers **Proactive Audit Services for DRE Compliance**. An experienced attorney will visit your office and conduct a review of your operations so you will feel confident if the DRE comes to audit.

Our **Proactive Audit Service** entails a thorough review of:

- Licensing
- Affiliate Licensee/Salesperson Agreements
- Marketing/Advertising
- Accounting (General Ledger, Trust Fund Handling)
- Supervision
- Transaction Files
- Branch Offices
- Fictitious Business Names
- Record Retention
- Overall Day-To-Day Licensed Activities

Included in the services is a full report of our findings identifying any observed violations and suggested corrective action where needed. If a DRE audit has already been scheduled or is even underway, we can assist and advise you throughout all phases of the process. Please contact Jozef Magyar via email at jozef.magyar@kts-law.com or via telephone at (800) 564-6611 to discuss the various options we may be able to offer you.

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